

**Slater & Matsil, L.L.P.**  
 Suite 1000  
 17950 Preston Road  
 Dallas, Texas 75252-5793  
 Phone: 972-732-1001 Facsimile: 972-732-9218

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Facsimile Number:	703-872-9317	Transmission Date:	October 27, 2003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Sheu, et al.	Docket No.:	TSM03-0140
Serial No.:	10/619,828	Art Unit:	2811
Date Filed:	July 15, 2003		
Title:	Self-Aligned MOSFET having an Oxide Region below the Channel		

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that the following papers are being transmitted by facsimile to the U.S. Patent and Trademark Office at 703-872-9317 on the date shown above:

- Information Disclosure Statement (1 page)
- Combined Form PTO/SB/08A and 08B (1 page) citing one (1) reference

Respectfully submitted,

*Natalie Swider*

Natalie Swider  
 Legal Assistant

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Confirmation Respectfully Requested

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Sheu, et al. Attorney Docket: TSM03-0140  
Filed: July 15, 2003 Examiner: TBD  
Serial No.: 10/619,828 Art Unit: 2811  
For: Self-Aligned MOSFET having an Oxide Region below the Channel

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

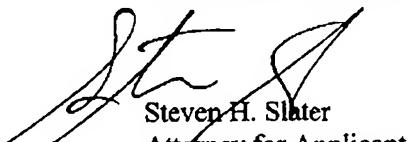
Applicant wishes to bring to the attention of the Patent and Trademark Office the information noted on the enclosed combined form PTO/SB/08A & 08B that may be considered material to the examination of the above-identified application.

Pursuant to the waiver of the requirement under 37 CFR 1.98 (a)(2)(i), a copy of the U.S. patent reference is not enclosed.

No fee is due at this time, as this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(b)(3), before the mailing of a first Office action on the merits.

If the Examiner should have any questions, please contact Applicant's attorney at the number listed below.

Respectfully submitted,



Steven H. Slater  
Attorney for Applicant  
Reg. No. 35,361

October 27, 2003

Date

Slater & Matsil, L.L.P.  
17950 Preston Rd., Suite 1000  
Dallas, TX 75252  
(972) 732-1001 (phone)  
(972) 732-9218 (fax)

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Substitute for form 1449A/PTO				Complete If Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/619,828
(use as many sheets as necessary)				Filing Date	July 15, 2003
				First Named Inventor	Shau, et al
				Art Unit	2811
				Examiner Name	TBD
Sheet	1	of	1	Attorney Docket Number	TSM03-0140

**U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

OTHER PRACTICE - NON-PATENT LITERATURE DOCUMENT		
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

**Examiner Signature** \_\_\_\_\_ **Date Considered** \_\_\_\_\_

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 808. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. "Applicant's unique citation designation number (optional). "See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. "Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). "For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. "Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. "Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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